



Attorney's Dock t No.: U 014852-3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor:

KEN	ICHI	HEN	IMI
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WARNI	NG:	The Declaration must name all of the actual inventor(s).
For (titl		_ DISTRIBUTOR
1.		of Application
This r	new a	pplication is for a(n) (check one applicable item below):
	$\square$	Original (nonprovisional)
		Design
		Plant
WARN	IING:	<b>Do not</b> use this transmittal for a completion in the U.S. of an International Application under 35 U.S.C. 371(c)(4) unless the International Application is being filed as a divisional, continuation or continuation-in-part application.
WARN	ING:	<b>Do not</b> use this transmittal for the filing of a provisional application.

## **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date October 9, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV 327550375 US addressed to the: Assistant Commissioner of Patents, Washington, D.C. 20231

**Cynthia Padgett** 

(type or print name of person mailing paper)

(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).

WARNING:

Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to

obtain a date of mailing or transmission for this correspondence.

(Application Transmittal [4-1]—page 1 of 7)

		1 (25 U.S.C. 119(a) 120 or 121)
2.	Bene	fit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)
NOTE:	where	new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or the parent case is an International Application which designated the U.S., or benefit of a prior provisional ation is claimed, then check the following item and complete and attach ADDED PAGES FOR NEW ICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.
WARNING:		If an application claims the benefit of the filing date of an earlier filed application under 35 U.S.C. 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. 120, 121 or 365(c). (35 U.S.C. 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. 119, 365(a) or 365(b).) For a c-i-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application and, if not, the applicant should consider canceling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Notice of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.
WARNING:		When the last day of pendency of a provisional application falls on a Saturday, Sunday, or Federal holiday within the District of Columbia, any nonprovisional application claiming benefit of the provisional <b>must</b> be filed prior to the Saturday, Sunday or Federal holiday within the District of Columbia. See 37 C.F.R. § 1.78(a)(3).
		The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.
TO A NOMITTAL WHERE R		e of the following 3 items apply, then complete and attach ADDED PAGES FOR NEW APPLICATION NSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT LICATION OF THE FILING OF THIS CONTINUATION APPLICATION.
		Divisional.
		Continuation.
		Continuation-in-Part (C-I-P).
3.	Pap 1.1	ers Enclosed That Are Required For Filing Date Under 37 CFR 1.53 (Regular) or 37 CFR 53 (Design) Application
	_8_	Pages of specification
	_ 2	Pages of claims
	_1	Pages of Abstract
	_ 3	Sheets of drawing
		☑ formal
		□ informal
WAR	NING:	DO NOT submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to § 1.84. If corrections to the drawings are necessary, they should be made to the original drawing and a high-quality copy of the corrected original drawing then submitted to the Office. Only one copy is required or desired. Comments on proposed new 37 CFR 1.84. Notice of March 9, 1988 (1990 O.G. 57-62).
NOTE	: "Ide doc	entifying indicia, if provided, should include the application number or the title of the invention, inventor's name, ket number (if any), and the name and telephone number of a person to call if the Office is unable to match

the drawings to the proper application. This information should be placed on the back of each sheet of drawing

The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO

a minimum distance of 1.5 cm. (% inch) down from the top of the page." 37 C.F.R. 1.84(c).

ACCEPT PHOTOGRAPH(S) AS DRAWING(S)". 37 C.F.R. 1.84(b).

(complete the following, if applicable)

4.	Additional papers enclosed									
		Preliminary Amendment								
		Information Disclosure Statement (37 CFR 1.98)								
		Form PTO-1449								
		Citations								
		Declaration of Biological Deposit								
		Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.								
		Authorization of Attorney(s) to Accept and Follow Instructions from Representative								
		Special Comments								
		Other								
5.	Dec	aration or oath								
	$\square$	Enclosed								
		executed by (check all applicable boxes)								
		☑ inventor.								
		☐ legal representative of inventor. 37 CFR 1.42 or 1.43								
		joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.								
		This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 13 below for fee.								
		Not Enclosed.								
WARNING:		Where the filing is a completion in the U.S. of an International Application but where a declaration is not available or where the completion of the U.S. application contains subject matter in addition to the International Application the application may be treated as a continuation or continuation-in-part, as the case may be, utilizing ADDED PAGE FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED.								
		Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above named inventor. (The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently).								
NOT	E: It is	important that all the correct inventor(s) are named for filing under 37 CFR 1.41(c) and 1.53(b).								
		Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d).)								
6.	Inv	entorship Statement								
WARA	RNING:	If the named inventors are each not the inventors of all the claims an explanation, including the ownership of the various claims at the time the last claimed invention was made, should be submitted.								
	The	e inventorship for all the claims in this application are:								
		The same								
		Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,								
7.	Laı	nguage								

NOTE: An application including a signed oath or declaration may be filed in a language other than English. A verified English translation of the non-English language application and the processing fee of \$130.00 required by 37 CFR

	1.526	d).		application or within such time as may be								
NOTE:	I not be translated. 37 CFR											
	$\square$	Engl	ish									
		non-	-English									
			the attached translation	n is a verified translation. 37 CFR 1	.52(d).							
8.	Assi	Assignment										
	$   \overline{\mathbf{Z}} $	An a	assignment of the inven	tion to SANOH KOGYO KABUSHIK	I KAISHA							
		☑	is attached. A separa ACCOMPANYING NEV attached.	te ☑ "COVER SHEET FOR ASSIGI V PATENT APPLICATION" or ☐ FC	NMENT (DOCUMENT) ORM PTO 1595 is also							
			will follow.									
NOTE:	"If ar for th	n assig he assi	nment is submitted with a nev ignment." Notice of May 4, 1	w application, send two separate letters—one 990 (1114 O.G. 77-78).	e for the application and one							
WARN	ING:	A ne	ewly executed "CERTIFICATE ication is filed by an assignee.	E UNDER 37 CFR 3.73(b)" must be filed to Notice of April 30, 1993. 1150 O.G. 62-	when a continuation-in-part 64.							
9.	Cer	tified	Сору									
	Cer	tified	copy of application									
			Country	Appin. No.	Filed							
			Japan	2002-309516	October 24, 2002							
		f	from which priority is cl	aimed								
		$\square$	is attached.									
			will follow.									
	37 (	CFR 1.	.55(a) and 1.63.	is for the claim for priority must be referred								
NOTE.	app	licatio	n or International Application	or which the application being filed directly from which this application claims benefit to a application then complete item 18 on the BENEFIT OF PRIOR U.S. APPLICATION(S) C	e ADDED PAGES FOR NEW							
10.	Fee	e Cale	culation (37 CFR 1.16)									
	Α.	☑	Regular Application									
				Claims as Filed								

	Nur	mber Filed				Νι	umb	r E	ktra		Rate	Basic Fee 37 CFR 1.16(a) \$770.00
Total Claims 6 - 20 (37 CFR 1.16(c))					0	=	(	0	×	\$	18.00	
Indeper (37 CF		Claims 16(b))	1	- :	3	=		0	x	\$	86.00	
Multiple (37 CF		endent claim(s) 16(d))	, if a	ny					+	\$	290.00	
		Amendment ca	ncel	ling (	extr	a cla	ims e	enc	lose	d.		
		Amendment de	eletin	ıg mı	ultip	le-de	epend	len	cies	en	closed.	
		Fee for extra c										
NOTE:	ment	fees for extra clain , prior to the expira y notice of fee defic	tion o	f the i	time	period	d set f	y mi or re	ıst b espo	e pa nse :	nid or the claims by the Patent a	s cancelled by amend- nd Trademark Office
							Filin	ıg F	ее	Cal	culation \$	770.00
В.		Design applica (\$340.00 — 3		FR 1.	16(	f))	Filir	ng F	-ee	Cal	culation \$	
C.		Plant application (\$530.00 — 3		FR 1.	16(	g))	Filir	ng I	-ee	Cal	culation \$	
11.	Sma	all Entity Staten	nent(	s)								
		Statement(s) t 37 CFR 1.9 ar	hat ind 1.	this i .27 is	s a s(ar	filing e) at	by a tache	sr ed o	nall or h	ent as b	tity under been filed.	
		Filing Fee Cald	ulati	ion (!	50%	of.	A, B	or	C al	voc	e) \$	
NOTE	: Any with	excess of the full f in 2 months of the	ee pai date (	id will of time	be r	efund ayme	led if a nt of a	ver ful	ified I fee.	stat	tement and a re CFR 1.28(a).	fund request are filed
12.		quest for Interna	tion	al-Ty	pe :	Sear	ch (3	7 (	FR	1.1	(04(d)) <i>(Com</i>	oplete, if applica-
		Please prepare time when na	e an tiona	inter al exa	nati amir	onal natio	-type n on	se the	arch me	n re erits	port for this takes place	application at the .
13.	Fee	Payment Being	, Ma	de A	t Th	nis Ti	ime					
		Not Enclosed										
		□ No filing by 37 C	fee FR 1	is to .16(6	be e) ca	paid an be	at th e paid	is t	ime Ibse	. ( equ	This and the ently.)	surcharge required
	☑	Enclosed										
		☑ basic fili	ng fe	ee								<sup>\$</sup> 770.00

		1	☑	Recording assignment (\$40.00; 37 CFR 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION.")	
				Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h))	\$
				For processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k))	ר \$
				Processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))	
				Fee for international-type search report (\$40.00; 37 CFR 1.21(e)).	\$
NOTE		failing CFR 1 basic	to co .53 a filing	11(I) establishes a fee for processing and retaining any application implete the application pursuant to 37 CFR 1.53(d) and this, as wind 1.78, indicate that in order to obtain the benefit of a prior U.S fee must be paid or the processing and retention fee of §1.21(I) notification under §53(d).	S. application, either the
				Total fees enclosed	\$ 770.00
14.		Meth	nod (	of Payment of Fees	
		$\square$	Che	ck in the amount of \$ 770.00	
			Cha	rge Account No. 12-0425 in the amount of	\$
			A d	uplicate of this transmittal is attached.	
NOT	E:	Fees . 1.22		d be itemized in such a manner that it is clear for which purpose	the fees are paid. 37 CFR
15. <i>A</i>	<b>∆</b> u1	•	-	n to Charge Additional Fees	
WARNIN				s are to be paid on filing, the following items should <u>not</u> be comp	leted.
WARNIN	G:	clai	m cha	ely count claims, especially multiple dependent claims, to avoid un arges are authorized.	
{	V	Th pa	e Co per a	mmissioner is hereby authorized to charge the follow and during the entire pendency of this application to	ring additional fees by this Account No. 12-0425.
		☑		7 CFR 1.16(a), (f) or (g) (filing fees)	
			37	7 CFR 1.16(b), (c) and (d) (presentation of extra clai	ms)
4	only	y be p the PT litiona	aid or *O in a I clain	onal fees for excess or multiple dependent claims not paid on fili these claims cancelled by amendment prior to the expiration of any notice of fee deficiency (37 CFR 1.16(d)), it might be best no n fees, except possibly when dealing with amendments after fina	it to authorize the PTO to charge il action.
		37 lat	CFI er th	R 1.16(e) (surcharge for filing the basic filing fee an nan the filing date of the application)	d/or declaration on a date
	Ø	37	7 CFI	R 1.17 (application processing fees)	
WARNII	NG:	shi 1.	ould b 136(a	7 CFR 1.17(a), (b), (c) and (d) deal with extensions of time und be made only with the knowledge that: "Submission of the appropri i) is to no avail <u>unless</u> a request or petition for extension is filed her 5,1985 (1060 O.G. 27)	ate extension ree under 57 cm m

37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)) NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 CFR 1.311(b). NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application ... prior to paying, or at the time of paying, ... issue fee". From the wording of 37 CFR 1.28(b): (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity. Instructions As To Overpayment 16. credit Account No. 12-0425  $\square$ refund Signature of Attorney William R. Evans Reg. No. 25,858 Ladas & Parry 26 West 61 Street Tel. No. (212) 708-1945 New York, NY 10023 Incorporation by reference of added pages  $\square$ (Check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED) Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed Number of pages added \_\_\_ Plus Added Pages for Papers Referred to in Item 4 Above Number of pages added \_\_\_\_ Plus "Assignment Cover Letter Accompanying New Application"  $\square$ Number of pages added \_4\_ Statement Where No Further Pages Added (If no further pages form a part of this Transmittal, then end this Transmittal with this page and check the following item:) This transmittal ends with this page.